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10/22 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
B422-143

Total Pages in this Submission  
4

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

COMMUNICATION APPARATUS, METHOD AND MEMORY MEDIUM THEREFOR

and invented by:

EIICHI SATO

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 35 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

Jc490 U.S. PTO  
09/17/00  
11/15/00

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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Total Pages in this Submission  
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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 11
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☒ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449                      ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail *(Specify Label No.):* EL 175 651 192 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

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4

**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☒ Additional Comments *(please identify below):*

Claim is made under 35 U.S.C. Section 119 for the benefit of the filing date of Japanese Patent Application Nos. 11-325559 and 2000-323980 filed November 16, 1999 and October 24, 2000, respectively. A certified copy of each application will be filed in due course.

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	6	- 3 =	3	x \$80.00	\$240.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$950.00

- ☒ A check in the amount of **\$950.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **18-1644** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 15, 2000

  
\_\_\_\_\_  
Signature  
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PATENT  
B422-143  
Express Mail No.: EL 175651192US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Eiichi Sato  
Serial No. : Unassigned  
For : COMMUNICATION APPARATUS, METHOD AND MEMORY  
MEDIUM THEREFOR  
Filed : November 15, 2000  
Examiner : Unassigned  
Art Unit : Unassigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows prior to examination thereof.

In the Specification

At page 1, line 15, change "multi lines" to -- multi-lines --.

At page 3, line 4, change ":" to -- ; --.

At page 3, line 7, change "flow chart" to -- flowchart --.

At page 3, line 10, change "flow chart" to -- flowchart --.

At page 3, line 13, change "flow chart" to -- flowchart --.

At page 3, line 18, change "sub addresses" to -- sub-addresses --.

At page 3, line 26, change "flow chart" to -- flowchart --.

At page 4, line 1, change "flow chart" to -- flowchart --.

At page 4, line 4, change "flow chart" to -- flowchart --.

At page 4, line 15, delete "a".

At page 4, line 16, delete "a".

At page 4, line 25, change "IPO" to -- PIO --.

At page 5, line 14, change "NCL" to -- NCU --.

At page 6, line 24, change "flow chart" to -- flowchart --.

At page 7, line 6, change "201" to -- 111 --.

At page 7, line 17, after "number", insert -- , --.

At page 8, line 13, delete "the" (second occurrence).

At page 9, line 8, change "sub address" to -- sub-address --.

At page 9, line 25, change "case the" to -- the case where --.

At page 10, line 18, change "flow chart" to -- flowchart --.

At page 10, line 22, change "in the following from a" to -- as follows from --.

At page 10, line 23, change "there" to -- it --.

At page 11, line 2, after "GIF", insert -- , --.

At page 11, line 5, change "sub address" to -- sub-address --.

At page 11, line 8, delete "realized is".

At page 11, line 9, after "e-mail", insert -- is realized --.

At page 11, line 11, change "in to" to -- into --.

At page 11, line 14, change "case the" to -- the case where --.

At page 11, line 18, change "terminals" to -- terminates --.

At page 11, line 22, change "sub address" to -- sub-address --.

At page 12, line 13, change "case the" to -- the case where --.

At page 12, line 23, after “keys”, insert -- is --.

At page 12, line 24, change “maintained” to -- kept --.

At page 13, line 8, change “sub address” to -- sub-address --.

At page 13, lines 11-12, change “sub address” to -- sub-address --.

At page 14, line 7, after “following”, insert -- , --.

At page 14, line 9, change “sub address” to -- sub-address --.

At page 14, line 18, change “sub addresses” to -- sub-addresses --.

At page 14, lines 26-27, change “sub address” to -- sub-address --.

At page 15, line 3, change “case the” to -- the case where --.

At page 15, line 7, change “sub address” to -- sub-address --.

At page 15, line 8, change “flow chart” to -- flowchart --.

At page 15, line 11, change “step” to -- steps --.

At page 15, lines 19-20, change “sub address” to -- sub-address --.

At page 15, line 24, change “sub address” to -- sub-address --.

At page 16, line 1, change “sub address” to -- sub-address --.

At page 16, line 3, change “sub address” to -- sub-address --.

At page 16, line 18, change “case the” to -- the case where --.

At page 18, line 14, after “following”, insert -- , --.

At page 18, line 16, change “flow chart” to -- flowchart --.

At page 18, line 17, change “flow chart” to -- flowchart --.

At page 18, line 18, change “number same” to -- similar number --.

At page 18, line 19, change “a” to -- the --.

At page 19, line 9, change “sends” to -- send --.

At page 19, line 25, change "case the" to -- the case where --.

At page 20, line 3, after "manner", insert -- , --.

At page 20, line 4, change "with for" to -- to --.

At page 20, line 9, after "following", insert -- , --.

At page 20, line 11, change "flow chart" to -- flowchart --.

At page 20, line 12, change "flow chart" to -- flowchart --.

At page 20, line 14, change "number same" to -- similar number --.

At page 20, line 15, after "following", insert -- , --.

At page 20, line 16, change "explained" to -- an explanation of the --.

At page 20, line 22, change "sub address" to -- sub-address --.

At page 22, line 20, change "sub net" to -- sub-net --.

At page 22, line 22, change "sub net" to -- sub-net --.

At page 22, line 26, change "utilizes such public key as" to -- utilizing such a public key -

At page 23, line 1, change "flow chart" to -- flowchart --.

At page 23, line 2, change "flow chart" to -- flowchart --.

At page 23, line 3, change "number same" to -- similar number --.

At page 23, line 4, change "is" to -- has --.

At page 23, line 4, after "following", insert -- , --.

At page 23, line 5, change "explained" to -- an explanation of the --.

At page 23, line 12, change "sub address" to -- sub-address --.

At page 23, line 17, change "identifies" to -- identifying --.

At page 23, line 25, change "sub address" to -- sub-address --.



At page 23, line 27, change "sub address" to -- sub-address --.

At page 24, lines 12-13, change "flow chart" to -- flowchart --.

At page 24, line 14, change "flow chart" to -- flowchart --.

At page 24, line 15, change "number same" to -- similar number --.

At page 24, line 15, change "a" (second occurrence) to -- the --.

At page 24, line 17, change "explained" to -- an explanation of the --.

At page 25, line 25, after "printer", insert -- , --.

At page 25, line 27, change "801" to -- 802 --.

At page 26, line 12, after "following", insert -- , --.

At page 26, line 15, change "805" to -- 804 --.

At page 26, line 16, change "805" to -- 804 --.

At page 26, line 18, change "807" to -- 802 --.

At page 26, line 20, change "808" to -- 803 --.

At page 27, line 5, change "in" to -- is --.

At page 27, line 9, change "sub address" to -- sub-address --.

At page 28, line 1, change "the supply of" to -- supplying --.

#### In the Claims

In claim 11, line 10, change "on" to -- or --.

In claim 19, line 10, change "on" to -- or --.

In claim 20, line 11, change "on" to -- or --.

REMARKS

The above amendments to the Specification and the claims are entered to correct various typographical and grammatical errors therein. Please make these amendments prior to examination of the application.

Dated: November 15, 2000

Respectfully submitted,



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Filed Under § 1.34(a)

COMMUNICATION APPARATUS, METHOD  
AND MEMORY MEDIUM THEREFOR

BACKGROUND OF THE INVENTION

5 Field of the Invention

The present invention relates to a communication apparatus suitable for transferring the received secret data.

Related Background Art

10 Owing to the recent remarkable popularization of the internet, the facsimile device which has executed communication only through the public network is now becoming to be connected to a computer network such as a LAN (local area network).

15 Such facsimile device adaptable to multi lines, connectable to the public network and the LAN, upon receiving image data from another facsimile device through the public network, transfers such image data to a server computer through the LAN.

20 The user acquires the image data by accessing to the server computer from a client computer. The acquired image data can displayed and viewed on a CRT by a predetermined viewer software. Otherwise the image data can be printed and observed by a printer  
25 connected to the client computer.

In the facsimile communication, there is known a confidential function. In such function, the facsimile

apparatus does not immediately print the image received under the designation of a confidential transmission but stores the image in a memory, and prints such image from the memory in response to the input of a  
5 predetermined password. Thus the image can be viewed only by the user who knows the confidential password.

However, as the conventional facsimile device described above is not provided with a configuration for transferring the confidential image, the intended  
10 recipient user of the confidential image has to go to the location of such facsimile device and to have the confidential image to be printed by the entry of the password.

## 15 SUMMARY OF THE INVENTION

In consideration of the foregoing, an object of the present invention is to provide a communication apparatus capable of transferring the received confidential image to a predetermined destination while  
20 maintaining its confidential character, and a method and a memory medium therefor.

Other objects of the present invention, and the features thereof, will become fully apparent from the following detailed description which is to be taken in  
25 conjunction with the accompanying drawings.

# BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a view showing the configuration of a communication apparatus constituting a first embodiment of the present invention:

5 Fig. 2 is a view showing a network system in the first embodiment of the present invention;

Fig. 3 is a flow chart showing the function of the communication apparatus of the first embodiment of the present invention;

10 Fig. 4 is a flow chart showing the function of the communication apparatus in a second embodiment of the present invention;

Fig. 5 is a flow chart showing the function of the communication apparatus in a third embodiment of the present invention;

15 Fig. 6 is a view showing the data structure of a management table indicating the correspondence between sub addresses and electronic mail addresses in the third embodiment of the present invention;

20 Fig. 7 is a view showing the data structure of an address notebook in the third embodiment of the present invention;

Fig. 8 is a view showing the configuration of a communication system in a sixth embodiment of the present invention;

25 Fig. 9 is a flow chart showing the function of the communication apparatus in a fourth embodiment of the present invention;

Fig. 10 is a flow chart showing the function of the communication apparatus in a fifth embodiment of the present invention; and

Figs. 11 and 12 are flow charts showing the  
5 function of the communication apparatus in a sixth embodiment of the present invention;

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Now the present invention will be clarified in  
10 detail by preferred embodiments thereof, with reference to the accompanying drawings.

Fig. 1 is a block diagram showing the configuration of a communication apparatus of the present invention, wherein shown are a CPU 101 for  
15 controlling the entire apparatus, a ROM 102 storing control programs to be executed by the CPU 101, and a RAM 103 constituting a temporary storage area for the data. A part of the RAM is constructed as a non-volatile memory backed up by a battery or the like, and  
20 serving to store data to be retained even after the power supply of the apparatus is turned off, such as registration data and management tables required in the present embodiment. Such non-volatile memory may also be replaced by a hard disk.

25 There are also provided an IPO 104 for data input/output with external circuits, an operation panel 105 controlled by the PIO 104, a compression circuit

106 for compressing data, a decompression circuit 107  
for decompressing the data, a modulation circuit 108  
for converting data into an analog signal of audible  
range for transmission to a public network 202, a  
5 demodulation circuit 109 for demodulating the analog  
signal, received from the public network 202, into a  
digital signal, a MODEM 110 consisting of the  
modulation circuit 108 and the demodulation circuit  
109, an NCU 111 for connecting the present apparatus  
10 with the public network 202, a LAN controller 112  
relating to the protocol for transmitting the signal to  
the LAN, a LAN connection circuit 113 to be used for  
matching the level of the signal in the present  
apparatus with that on the NCL, and a CPU bus 114 to be  
15 used for the control by the CPU 101.

Fig. 2 illustrates a network system to which the  
communication apparatus 201 of the present invention is  
connected. Referring to Fig. 2, the communication  
apparatus 201 is connected to a public network 202 and  
20 a LAN 203. On the LAN 203, there are connected a  
server computer 205 to be used for example for storing  
the received image data, and a client computer 206  
capable of information exchange with the server  
computer 205. The server computer 205 is provided with  
25 e-mail server functions such as SMTP server function  
and POP server function, and is so constructed as to be  
capable of exchanging e-mail with the communication

apparatus 201, the client computer 206 and other unrepresented terminals. The communication apparatus 201 and the client computer 206 are naturally provided with an e-mail client function.

5           The communication apparatus 201 executes facsimile communication with the facsimile device 204 through the public network 202.

[First embodiment]

10           In a configuration where the communication apparatus 201 transmits image data received from the public network 202 to the server computer 205 for storage in a predetermined area, the first embodiment selectively executes the encryption of the image data according to whether the received image data represent  
15           a confidential image.

          In case the received image data represent a confidential image, the image data are encrypted by a predetermined method and stored thereby being rendered observable only by a specified user. Thus the received  
20           confidential image can be transferred while the confidentiality of the data are retained.

          In the following there will be explained the function of the communication apparatus 201 of the present embodiment, with reference to a flow chart  
25           shown in Fig. 3. The sequence is started after the power supply to the communication apparatus 201 is turned on (step S301) and there is entered a state of



awaiting a call reception from the public network 202 (step S302). If a call is made from the facsimile device 204 while the call reception is awaited, the call reaches and is received by the communication apparatus 201 through the public network 202. When the call is detected by the CPU 101 and the NCU 201, the call is established by the NCU 111.

Then there is entered a phase B based on the ITU-T recommendation T.30 for executing a training for exchanging the information on communication ability and investigating the quality of the communication line (hereinafter represented as pre-communication). In the pre-communication (step S303), there are informed information such as the aforementioned sub-address (by SUB signal in ITU-T T.30), a password (by PWD signal in ITU-T TT.30) in case of a confidential image, a confidential box number etc. Such information are temporarily stored in the RAM 103 of the communication apparatus 201.

After the pre-communication (step S303), there is executed reception of image data (step S304). The image signal transmitted through the public network 202 is fetched into the communication apparatus 201 through the NCU 111, then returned to the original image data through the demodulation circuit 109 of the MODEM 110 and by the decompression circuit 107, and stored in a predetermined data format (which may be compressed

data) in the RAM 103 by the CPU 101. Such receiving operation is repeated until an end notice arrives from the transmitting side (step S305).

After the reception of the image data, there is  
5 discriminated whether the image is a confidential image by reading the information stored in the aforementioned RAM 103 (step S306). This discrimination may be made by whether the aforementioned PWD signal is received, or by whether the use of the confidential function is  
10 designated on a protocol signal such as the NSS signal.

In case the image data represent a confidential image, the image data stored in the RAM 103 are read by the CPU 101 and the encrypted (step S307). The communication apparatus 201 executes encryption by an  
15 encryption key corresponding to the server computer 205.

The encrypted image data are transmitted to the LAN controller 112, and to the LAN 203 through a LAN connection circuit 113, thereby transferring to the  
20 server computer 205 (step S308). Also the CPU 101 transmits the password and the confidentiality box number obtained in the pre-communication (step S303) to the server computer 205, whereupon the communication apparatus 201 terminates the sequence (step S409).

25 In case the step S306 identifies that the image data do not represent a confidential image, the encrypting step S307 is skipped and the image data are

transferred without encryption to the server computer 205 (step S308) whereupon the communication apparatus 201 terminates the sequence (step S309).

5       Upon receiving the image data transferred in the step S308, the server computer 205 stores such image data as a file in a memory area thereof and transmits a reception notice to the client computer 206 of a specified user based on the sub address. Such notice is made for example by e-mail.

10       In case the image data do not represent a confidential image, the user receiving the notice manipulates the client computer 206 for acquiring the image data addressed to the user from the server computer 205 for example by downloading, thereby being  
15       enabled to acquire the image data as visible information, for example by display on the client computer 206 with an image viewer application or by printing with an unrepresented printer device.

20       On the other hand, in case the image data represent a confidential image so that the image data stored in the server computer 205 are encrypted, it is necessary to transmit a password corresponding to the confidentiality box number to the server computer 205 when the client computer 206 downloads the image data  
25       from the server computer 205. Only in case the server computer 205 judges that the password is proper, it transmits the decrypted image data to enable viewing

thereof on the client computer 206.

[Second embodiment]

5 In a configuration where the communication apparatus 201 transmits image data received from the public network 202 to the server computer 205 for storage in a predetermined area, the second embodiment does not execute such storage but transfers the image data to the designated destination by e-mail in case the received image data represent a confidential image.

10 In case the received image data represent a confidential image, the image data are directly e-mail transferred to the destination without storage in the memory of the server computer 205, whereby the received confidential image can be transferred while the confidentiality of the data are retained.

15 In the following there will be explained the function of the communication apparatus 201 of the present embodiment, with reference to a flow chart shown in Fig. 4. As the process of steps S401 to S405 have already been explained in the step S301 to S305 of the foregoing first embodiment, the sequence will be explained in the following from a step S406.

25 At first there is discriminated whether the image data received in the step S405 represents a confidential image, by reading the information stored in the aforementioned RAM 103 (step S406), and, if a confidential image is represented, the CPU 101 reads

the image data stored in the RAM 103 and converts the image data into an image format (JPEG, GIF etc.)

developable by the client computer 206 (step S407).

Then the CPU 101 specifies the client computer 206 at

5 the address of transfer by the sub address, and sends an e-mail (step S408). In this operation, the image data converted to the image format is attached to the e-mail, whereby realized is the delivery of the confidential image to the specified user by e-mail.

10 After the transmission of the e-mail to which attached are the image data converted in to the image format, the communication apparatus 201 terminates the sequence (step S409).

In case the step S406 identifies that the received  
15 image data do not represent a confidential image, the image data are transferred to the server computer 205 (step S410) whereupon the communication apparatus 201 terminals the sequence (step S409). The server computer 205 stores such image data as a file in a  
20 memory area thereof and transmits a reception notice to the client computer 206 of a specified user based on the sub address. Such notice is made for example by e-mail. Upon receiving the notice, the user manipulates the client computer 206 for acquiring the image data  
25 addressed to the user from the server computer 205 for example by downloading, thereby being enabled to acquire the image data as visible information, for

example by display on the client computer 206 with an image viewer application or by printing with an unrepresented printer device.

[Third embodiment]

5           In transferring the received confidential image by e-mail, the third embodiment selectively executes encryption based on whether a public key of the destination of transfer is acquired.

10           More specifically, in case the communication apparatus 201 has acquired the public key of the destination of transfer of the confidential image, the received image data are transferred by an e-mail encrypted with such public key. In case the communication apparatus 201 has not acquired the public  
15           key of the destination of transfer of the confidential image, such confidential image is not transferred but is stored in a memory box managed by the communication apparatus 201, and an e-mail only describing that the received confidential image is stored in the memory box  
20           is transmitted to the destination of transfer.

          In the public key system, the encrypting key at the transmitting side is different from the decrypting key at the receiving side, in which one of the keys made public (public key) while the other is maintained  
25           secret (secret key). The user, receiving a confidential image encrypted with his public key, can view the confidential image by decryption with the

secret key held by the user only.

In this manner it is rendered possible to prevent unexpected disclosure of the confidential image without encryption onto the LAN, thereby maintaining the confidentiality of the confidential image.

Fig. 6 shows a management table held by the communication apparatus 201 and storing the correspondence between the sub address data and the e-mail addresses of the destinations of transfer. The table stores the e-mail addresses of the destinations of data and the confidentiality box numbers for the sub address data 601 in mutual correspondence.

Fig. 7 shows, in the form of a table, the data structure of an address notebook in the e-mail client function of the communication apparatus 201. As shown in Fig. 7, for each address, there are shown a destination name 701, an e-mail address 702 and information 703 whether the public key of such destination is obtained. The public key data are acquired in advance from each destination through the LAN, or from a detachable memory medium by providing the communication apparatus 201 with a function of connecting a device capable of driving such memory medium. The acquired public key data are stored as file data, and the acquired public key data and the destination are correlated in the address notebook through a predetermined procedure.

Also in acquiring the public key, it is preferable also to confirm the appropriateness of the public key by receiving a certificate certifying that the public key is of the proper owner from a predetermined  
5 certifying organization and then to register the public key in the aforementioned address notebook.

In the following the present embodiment will be explained with reference to Figs. 6 and 7.

At first, when the sub address "0123" receives the  
10 designated image data from the public network 202, the e-mail address of the destination of transfer is converted into "aaa@xxx.xxx.com" based on the management table shown in Fig. 6, and the presence/absence of the public key is judged, based on  
15 the e-mail address of the destination of transfer in the address notebook shown in Fig. 7.

In the example shown in Figs. 6 and 7, the confidential images designated for the sub addresses "0123" and "8901" are respectively stored in the  
20 corresponding memory boxes "01" and "03" since the public keys are not acquired, and e-mails describing the storing confidentiality box number, the transmitter information and the time and date of reception as text data are transferred to the respective destinations  
25 "aaa@xxx.xxx.com" and "ccc@xxx.xxx.com".

The confidential image designated for the sub address "5678", for which the public key has been



acquired, is encrypted with such public key and is transferred to the destination "bbb@xxx.xxx.com".

Also in case the received image data do not represent a confidential image, the received image data  
5 are transferred by e-mail, without encryption, to the e-mail address of the destination corresponding to the sub address.

Fig. 5 is a flow chart showing the function of the communication apparatus 201 in the present embodiment.  
10 As the process of steps S501 to S505 have already been explained in the step S301 to S305 of the foregoing first embodiment, the sequence will be explained in the following from a step S506.

At first a step S506 discriminates whether the  
15 image data received in the step S504 represent a confidential image, and, if not, the sequence proceeds to a step S512 for transmitting an e-mail with the received image data as an attachment to the e-mail address of the destination corresponding to the sub  
20 address received in the step S503.

A step S507 discriminates, based on the management table shown in Fig. 6 and the address notebook shown in Fig. 7, whether the public key is correlated with the e-mail address corresponding to the sub address  
25 received in the step S503. If the public key is not correlated, the sequence proceeds to a step S510 for storing the received image data in a memory box

corresponding to the sub address. Then a step S511 transmits, to the e-mail address corresponding to the sub address, an e-mail describing, as text data, a message that the confidential image is stored in the memory box. An example of the message is "A confidential image is received in your memory box. Please come to receive it".

The receiver of the confidential image, receiving the e-mail describing the above-mentioned message, visits the location of the communication apparatus 201 and enters a password corresponding to the memory box from the operation panel 10, whereby the confidential image is outputted from the unrepresented printer. In this manner it is rendered possible to prevent unexpected disclosure of the confidential image without encryption onto the LAN, thereby maintaining the confidentiality of the confidential image.

In case the step S507 identifies that the public key is correlated, the sequence proceeds to a step S508 for encrypting the received image data with such public key, and then a step S509 transfers an e-mail with the confidential image encrypted in the step S509. An example of the encrypting method based on the public key is RSA (Rvert-Shamir-Adleman) system.

The above-described process allows secure encryption in transferring the confidential image received from the public network through a LAN thereby

enabling to maintain the confidentiality of the confidential image.

Among the encryption systems, there is also known a common key system, in addition to the aforementioned public key system. In such common key system, the encrypting key at the transmitting side is same as the decrypting key at the receiving side. The transmitting side executes transmission by encrypting the communication text (plaintext) by such encrypting key, and the receiving side decrypts the received text (encrypted text) with the same key.

As the public key system generally requires a longer time in comparison with the common key system, because the encryption and the decryption are more complex, it is also possible to transfer data obtained by encrypting the confidential image by a common key generated by a predetermined algorithm and data obtained by encrypting such common key by the public key of the destination of transfer. An encryption system based on the common key is DES (data encryption standard) system.

[Fourth embodiment]

In the foregoing third embodiment, the receiver of the confidential image stored in the memory box in the step S510 is assumed to visit the communication apparatus 201 for obtaining the printed output. In the present embodiment, after the confidential image is

stored in the memory box, in response to the registration of the public key of the destination of transfer of the confidential image in the aforementioned address notebook, such confidential  
5 image is automatically encrypted with such public key and transferred to the destination.

Consequently the receiver of the confidential image, without visiting the location of the communication apparatus 201, can acquire the  
10 confidential image stored in the memory box, by causing the system manager to register the public key or by sending the public key to the communication apparatus 201 through the LAN 203.

In the following the function of the communication  
15 apparatus 201 in the present embodiment will be explained with reference to a flow chart shown in Fig. 9, which is a modification of the flow chart of the third embodiment and in which any step of a number same as in the third embodiment has a same content. In the  
20 following there will only be explained steps of which processes are different from the third embodiment.

At first, after the process of the step S511 in Fig. 10, there is executed, at a predetermined interval, a process of discriminating whether the  
25 public key of the destination corresponding to the confidential image stored in the memory box is registered in the address notebook (a loop process

consisting of steps S1001 and S1002), and if the step S1001 detects the affirmative discrimination in such loop process, the sequence proceeds to a step S508 for transferring the confidential image with encryption by  
5 the registered public key.

Also the message to be transmitted in the step S511 can be, for example, "A confidential image is received in your memory box. The confidential image will be encrypted and transmitted if you sends your  
10 public key".

[Fifth embodiment]

The foregoing third embodiment does not execute the image transfer unless the public key of the destination is acquired, but, in the present  
15 embodiment, the encrypted transfer is executed depending on the security of the transfer path. More specifically, in the transfer through the LAN 203, there is discriminated whether the public key of the destination of transfer is acquired or not only in case  
20 the security of the transfer path is not ensured, and, if the public key is discriminated to be present, the confidential image is encrypted and transferred, but, if absent, the confidential image is stored in the memory box and a message indicating such image storage  
25 alone is transmitted to the destination. Also in case the security of the transfer path is ensured, the confidential image is transferred to the destination

regardless whether the public key of the destination of transfer is acquired or not.

In this manner the process relating to the public key data can be dispersed with for the destinations  
5 within a domain with ensured security such as an intranet, whereby the process of registered data management in the communication apparatus 201 can be alleviated.

In the following the function of the communication  
10 apparatus 201 in the present embodiment will be explained with reference to a flow chart shown in Fig. 10, which is a modification of the flow chart of the third embodiment shown in Fig. 5, and in which any step of a number same as in the third embodiment has the  
15 same content. In the following there will only be explained steps of which processes are different from the third embodiment.

At first, if the step S506 identifies that the received image data represent a confidential image, the  
20 sequence proceeds to a step S1101. A step S1101 judges the security of the transfer path to the destination of transfer corresponding to the sub address received in the step S503, and, if the transfer path is judged secure, the sequence proceeds to a step S512 for  
25 transferring the confidential image to the destination.

On the other hand, if the transfer path is judged not secure, the sequence proceeds to a step S507 for

determining whether to transfer the confidential image or to store it in the memory box, according to the presence or absence of the public key. The judgment of the security of the transfer path in the step S1101 can  
5 be made, for example, by the domain of the e-mail address of the communication apparatus 210 and the domain of the e-mail address of the destination of transfer.

Such judgment will be explained in more detail  
10 with reference to Figs. 6 and 7. As explained in the foregoing, the communication apparatus 201 is provided with an e-mail client function, for example with an e-mail account "fax@xxx.xxx.com".

Consequently, in the example of the address  
15 notebook data shown in Fig. 7, the destinations aaa, bbb and ccc are in the same domain "xxx.xxx.com" of the communication apparatus 201 while the destinations ddd and eee are in domains different from that of the communication apparatus 201.

20 Therefore, for the destinations of transfer belonging to the domain of the communication apparatus 201, the confidential image is transferred by the e-mail regardless whether the public key is registered in the address notebook.

25 For the destination in a domain different from that of the communication apparatus 201, the transfer is executed according to whether the public key is

registered in the address notebook. More specifically,  
since the public key is not registered for the  
destination ddd, the confidential image for the  
destination ddd is stored in the memory box and the e-  
5 mail describing only a message indicating the storage  
of the confidential image in the memory box is  
transmitted to the destination ddd. Also as the public  
key is registered for the destination eee, the e-mail  
with the confidential image encrypted with the public  
10 key is transmitted to the destination eee.

The domain name has a hierarchic layered structure  
punctuated by dots, and the judgment of a same domain  
by the coincidence of a number of hierarchic layers  
starting from the first layer "com" depends on the  
15 security policy of the network system. For example the  
transfer path may be judged secure by the coincidence  
up to the second hierarchic layer "xxx.com".

In the foregoing there has been explained the  
judgment based on the domain name, but the security may  
20 also be judged by whether the sub net of the IP address  
of the destination of transfer is within a  
predetermined sub net.

[Sixth embodiment]

Certain public keys are rendered effective only  
25 during a period, in order to improve the security. The  
present embodiment utilizes such public key as will be  
explained in the following with reference to Fig. 11.



A flow chart shown in Fig. 11 is a modification of the flow chart of the third embodiment shown in Fig. 5, and any step of a number same as in the third embodiment is same the content. In the following there  
5 will only be explained steps of which processes are different from the third embodiment.

At first, if the step S506 identifies that the received image data represent a confidential image, a step S507 discriminates, based on the management table  
10 shown in Fig. 6 and the address notebook shown in Fig. 7, whether the public key is correlated with the e-mail address corresponding to the sub address received in the step S503. If the step S507 identifies that the public key is not correlated, a step S1201  
15 discriminates whether the public key is within an effective period.

In the step S1201 identifies that the public key is within the effective period, a step S508 encrypts the received image data with the public key, and a step  
20 S509 transmits an e-mail with thus encrypted confidential image.

If the step S1201 identifies that the effective period of the public key has expired, a step S510 stores the received image data in the memory box  
25 corresponding to the sub address and a step S511 transmits, to the e-mail address corresponding to the sub address, an e-mail describing, as the text data, a

message that the confidential image is stored in the memory box. Such message can be, for example, "Effective period of the public key has expired. A confidential image is received in your memory box.

5 Please come to receive it".

It is also possible, in response to the renewal of the effective period of the public key, to automatically encrypt the confidential image with such public key and transfer the encrypted image to the destination.

The function of the communication apparatus in such case will be explained with reference to a flow chart shown in Fig. 12, which is a modification of the flow chart of the third embodiment, and in which any step of a number same as in the third embodiment has a same content. In the following there will only be explained steps of which processes are different from the third embodiment.

At first, after the process of the step S511 in Fig. 12, a step S1304 executes, at a predetermined interval, a process of discriminating whether the effective period of the public key of the destination corresponding to the confidential image stored in the memory box is renewed (a loop process consisting of steps S1302 and S1303), and if the step S1302 detects the affirmative discrimination in such loop process, a step S1301 discriminates whether the renewed period is

effective.

If the step S1301 identifies that the public key is within the effective period, a step S508 encrypts the received image data with such public key, and a  
5 step S509 transfers the encrypted confidential image by the e-mail.

Also the message to be transmitted in the step S511 can be, for example, "The effective period of the public key has expired. A confidential image is  
10 received in your memory box. The confidential image will be encrypted and transmitted if you renew the effective period of your public key".

In the foregoing there has been explained a case of renewing the effective period of the public key, but  
15 it is also possible to encrypt and transfer the confidential image stored in the memory box in response to the new acquisition of a public key in the effective period from the destination of transfer.

[Seventh embodiment]

20 The foregoing embodiments have been explained by the function of a single equipment constructed as the communication apparatus, but the present invention may also be applied to a system consisting of plural equipment such as a personal computer, a modem, a  
25 scanner, a printer etc. The configuration of such system will be briefly explained with reference to Fig. 8. Referring to Fig. 8, a personal computer (PC) 801

is connected to a scanner 801, a printer 803 and a  
modem 804 (which may be incorporated in the PC 802)  
through a predetermined interface. The PC 802 is also  
connected to a public network 202 through the modem 804  
5 and to a LAN 203 through an unrepresented LAN board.

The interface connecting the PC 802 with the  
scanner 801, printer 803 and modem 804 may be a network  
interface through the LAN 203, but is preferably a  
local interface separated from the LAN 203, such as  
10 USB, in order to handle the secret data such as the  
confidential image.

In the following there will be explained the  
receiving operation in this system. At first, a signal  
transmitted from the public network 202 is fetched into  
15 the modem 805 through a NCU unit incorporated therein.  
The modem 805 demodulates the analog signal to restore  
the digital data. The digital data are read by a  
computer 807 in which image data are restored by  
decompression of the compressed data and are supplied  
20 to a printer 808, which prints the image data.

If the received image data are confidential, the  
data are stored in a memory box of a hard disk device  
incorporated in the PC 802, and, according to the  
aforementioned third embodiment, the confidential image  
25 is transferred with encryption by the public key to  
the destination of which the public key is acquired  
while the e-mail indicating the reception of the

confidential image is transmitted to the destination of which the public key is not acquired.

5 In the foregoing first to seventh embodiments, there has been explained a configuration in which the sub address received from the transmitting side in converted by the communication apparatus of the present invention into the e-mail address, but the e-mail address of the destination of transfer may be directly set in the sub address from the transmitting side.

10 Also in the foregoing embodiments, there has been explained a case of transferring the image data, received from the public network 202, to the client device on the LAN 203, but such configuration is not restrictive and there may be assumed a configuration in  
15 which the LAN 203 is connected to the internet through a predetermined access point and the image data received from the public network 202 is transferred through the internet. The present invention is suitable for the communication through the internet  
20 since the security is considered important in such communication.

The present invention is also applicable to a case in which the image data received from the public network is transferred by dial-up connection to the  
25 access point of the internet from the public network.

Also the present invention is naturally applicable to a case where the present invention is realized by

the supply of a program to a system or an apparatus.  
In such case, the objects of the present invention can  
be attained by a computer (PCU or MPU) of such system  
or apparatus, reading and executing the program codes  
5 stored in a memory medium and realizing the present  
invention.

Also the present invention naturally includes a  
case where, in executing the read program codes by the  
computer, an OS (operating system) functioning on the  
10 computer executes a part of the processes.

WHAT IS CLAIMED IS:

1. A communication apparatus for transferring data received from a first network to a second network, the apparatus comprising:

5 first discrimination means for discriminating the destination information of said received data;

second discrimination means for discriminating the secrecy level information of said received data; and

10 control means for executing the transfer of said received data, according to the result of discrimination by said first and second discrimination means.

15 2. A communication apparatus according to claim 1, wherein said control means transfers said received data with encryption, according to the discrimination by at least either of said first and second discrimination means.

20 3. A communication apparatus according to claim 1, wherein said secrecy level information includes whether said received data are confidential data.

25 4. A communication apparatus according to claim 1, wherein said control means transfers said received data to the destination by e-mail, according to the discrimination by at least either of said first and

second discrimination means.

5        5. A communication apparatus according to claim  
1, wherein said control means stores said received data  
in a predetermined memory, according to the  
discrimination by at least either of said first and  
second discrimination means.

10       6. A communication apparatus according to claim  
1, wherein said destination information includes  
whether encryption information corresponding to said  
destination is provided.

15       7. A communication apparatus according to claim  
1, wherein said destination information includes path  
information to the destination for said received data.

20       8. A communication apparatus according to claim  
1, wherein said destination information includes  
whether the encryption information corresponding to the  
destination is within an effective period.

25       9. A communication method for transferring data  
received from a first network to a second network, the  
method comprising:

        a first discrimination step of discriminating the  
destination information of said received data;



a second discrimination step of discriminating the secrecy level information of said received data; and

a control step of executing the transfer of said received data, according to the result of

5 discrimination by said first and second discrimination steps.

10 10. A computer readable memory medium storing a program of a communication method for transferring data received from a first network to a second network, the program comprising:

a first discrimination step of discriminating the destination information of said received data;

15 a second discrimination step of discriminating the secrecy level information of said received data; and

a control step of executing the transfer of said received data, according to the result of discrimination by said first and second discrimination steps.

20

11. A communication apparatus for transferring data received from a first network to a second network, the apparatus comprising:

25 discrimination means for discriminating whether encryption information corresponding to the destination of said received data is present; and

control means for executing control whether to

transfer said received data with encryption based on the encryption information corresponding to said destination, on to store said received data in a predetermined memory.

5

12. A communication apparatus according to claim 11, wherein said control means transmits, to said destination, a message indicating that said received data are stored in a predetermined memory.

10

13. A communication apparatus according to claim 11, wherein said encryption information is acquired from said destination.

15

14. A communication apparatus according to claim 11, wherein said control means executes said encryption according to the secrecy level of said received data.

20

15. A communication apparatus according to claim 11, wherein said control means is adapted, upon acquiring the encryption information from said destination, to encrypt the received data stored in said predetermined memory with said encryption information and to execute transfer to said destination.

25

16. A communication apparatus according to claim

11, wherein said control means executes said encryption according to the transfer path to said destination.

17. A communication apparatus according to claim 5 11, wherein said encryption information includes an effective period.

18. A communication apparatus according to claim 10 17, wherein the effective period of said encryption information is renewable.

19. A communication method for transferring data received from a first network to a second network, the method comprising:

15 a discrimination step of discriminating whether encryption information corresponding to the destination of said received data is present; and

20 a control step of executing control whether to transfer said received data with encryption based on the encryption information corresponding to said destination, on to store said received data in a predetermined memory.

20. A computer readable memory medium storing a 25 program of a communication method for transferring data received from a first network to a second network, the program comprising:

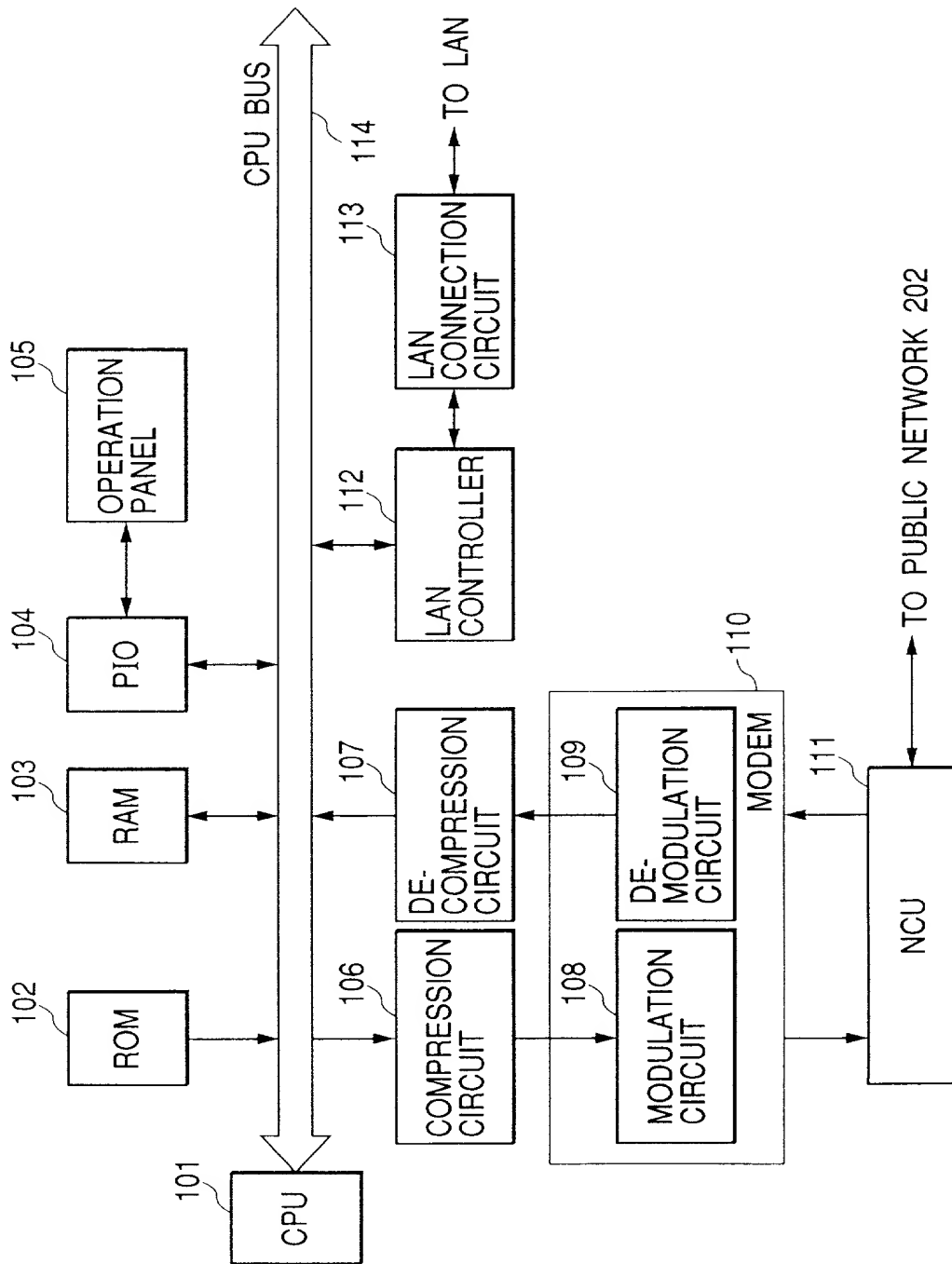
a discrimination step of discriminating whether encryption information corresponding to the destination of said received data is present; and

5 a control step of executing control whether to transfer said received data with encryption based on the encryption information corresponding to said destination, on to store said received data in a predetermined memory.

ABSTRACT OF THE DISCLOSURE

The invention provides a communication apparatus for transferring data received from a first network to a second network, in which the apparatus judges the destination of transfer of the received data and the secrecy level of the received data, and executes the transfer of the received data by a method based on the results of judgment.

FIG. 1



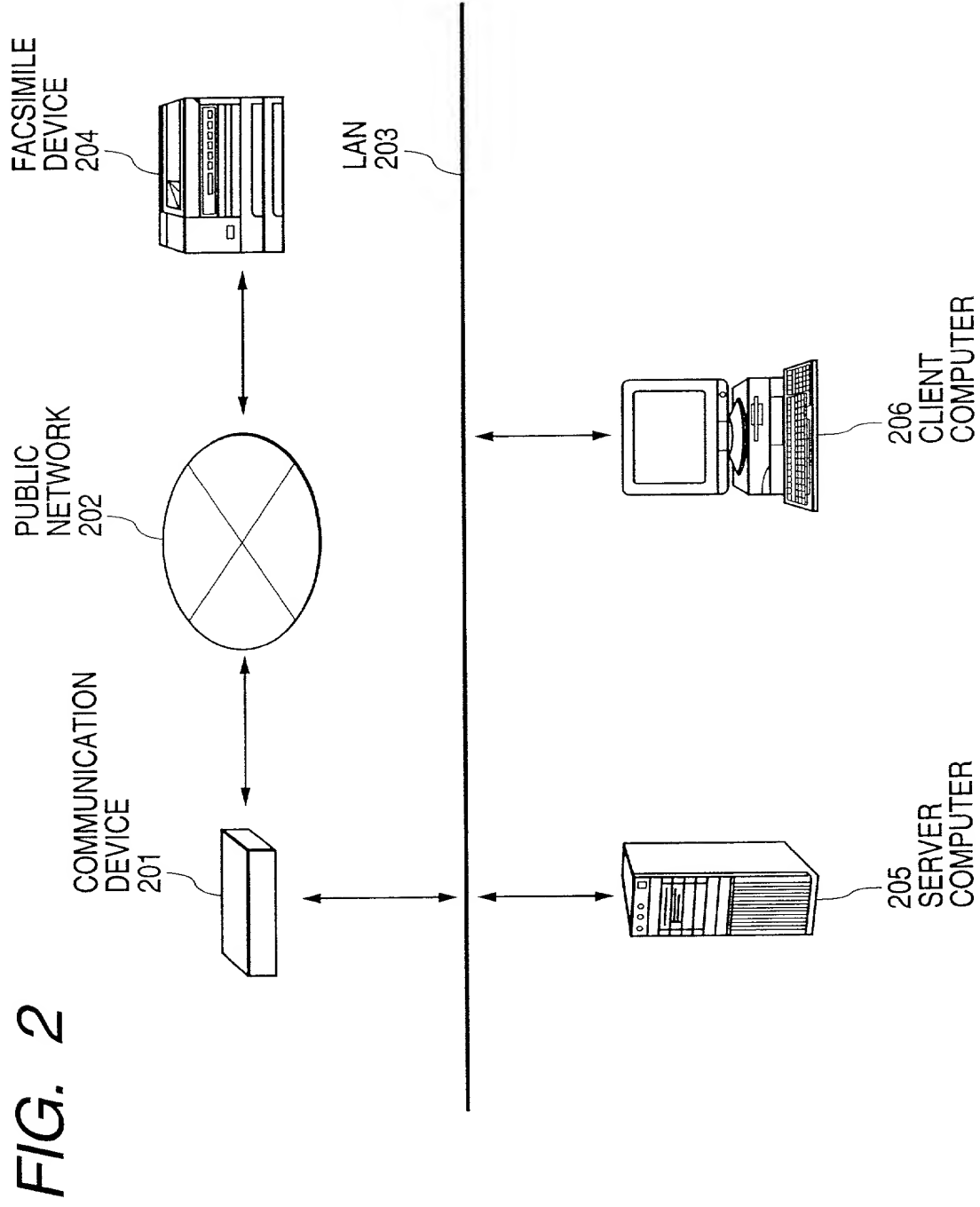


FIG. 3

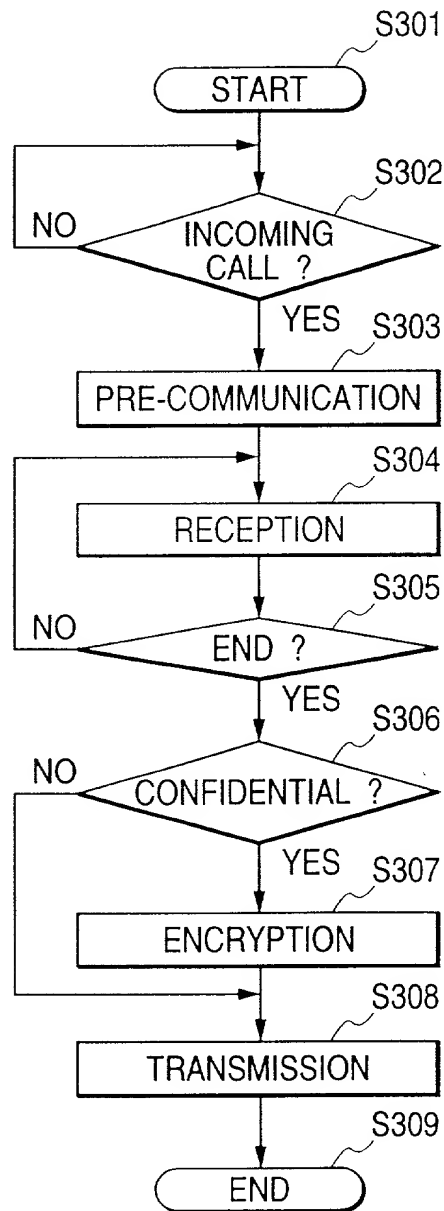




FIG. 4

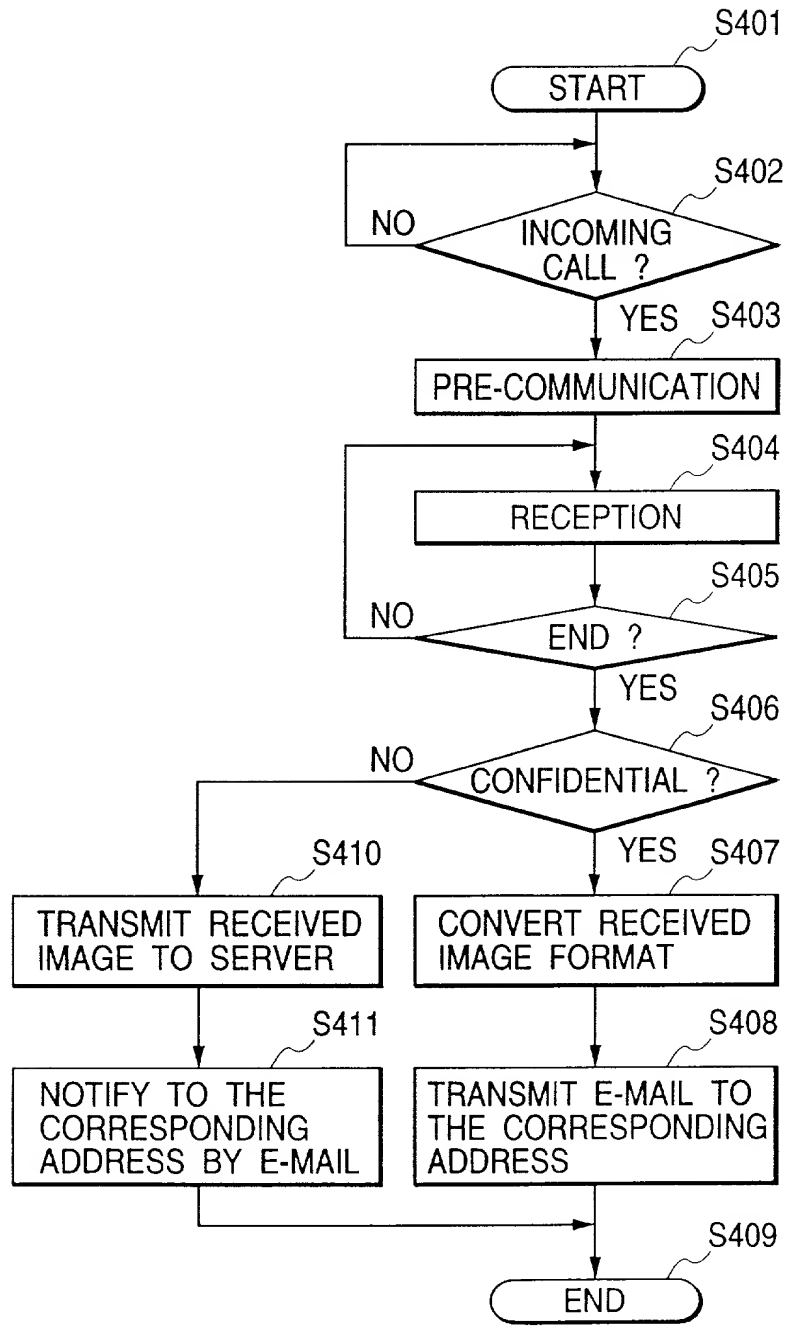


FIG. 5

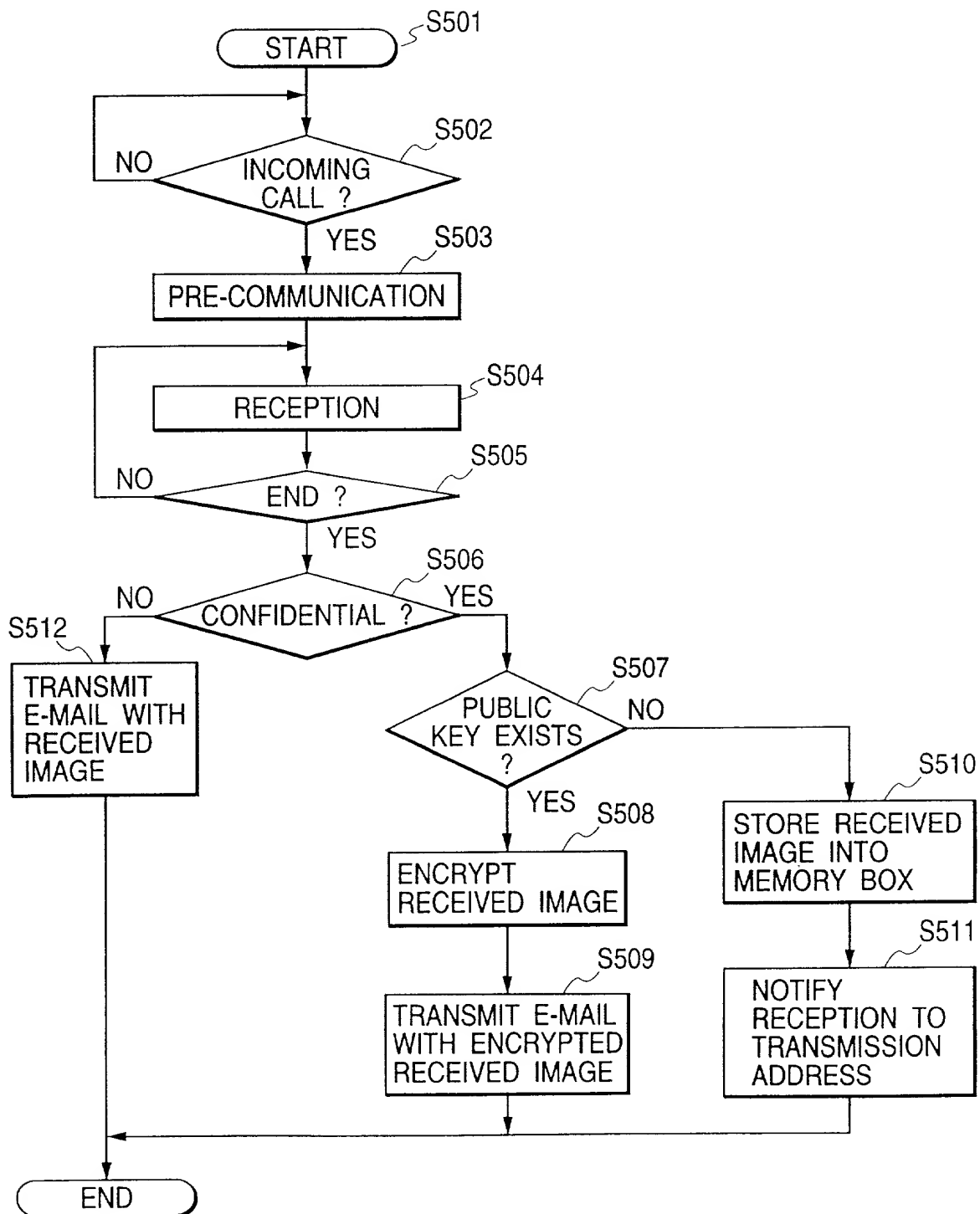


FIG. 6

SUB ADDRESS	DESIGNATION E-MAIL ADDRESS	MAIL BOX
0123	aaa@canon.canon.com	01
4567	bbb@canon.canon.com	02
8901	ccc@canon.canon.com	03
2345	ddd@canon2.canon.com	04
6789	eee@canon2.canon.com	05

FIG. 7

DESIGNATION NAME	E-MAIL ADDRESS	PUBLIC KEY
aaa	aaa@canon.canon.com	NONE
bbb	bbb@canon.canon.com	PUBLIC KEY bbb
ccc	ccc@canon.canon.com	NONE
ddd	ddd@canon2.canon.com	NONE
eee	eee@canon2.canon.com	PUBLIC KEY eee

FIG. 8

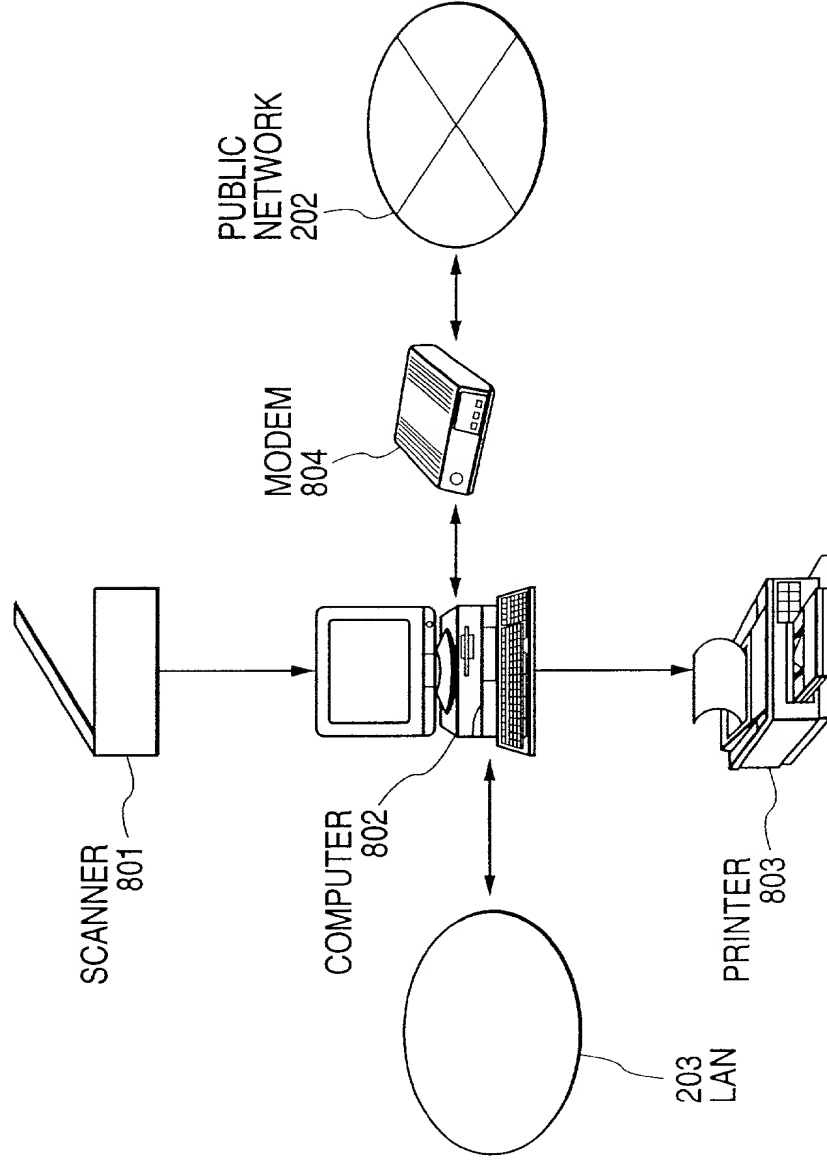


FIG. 9

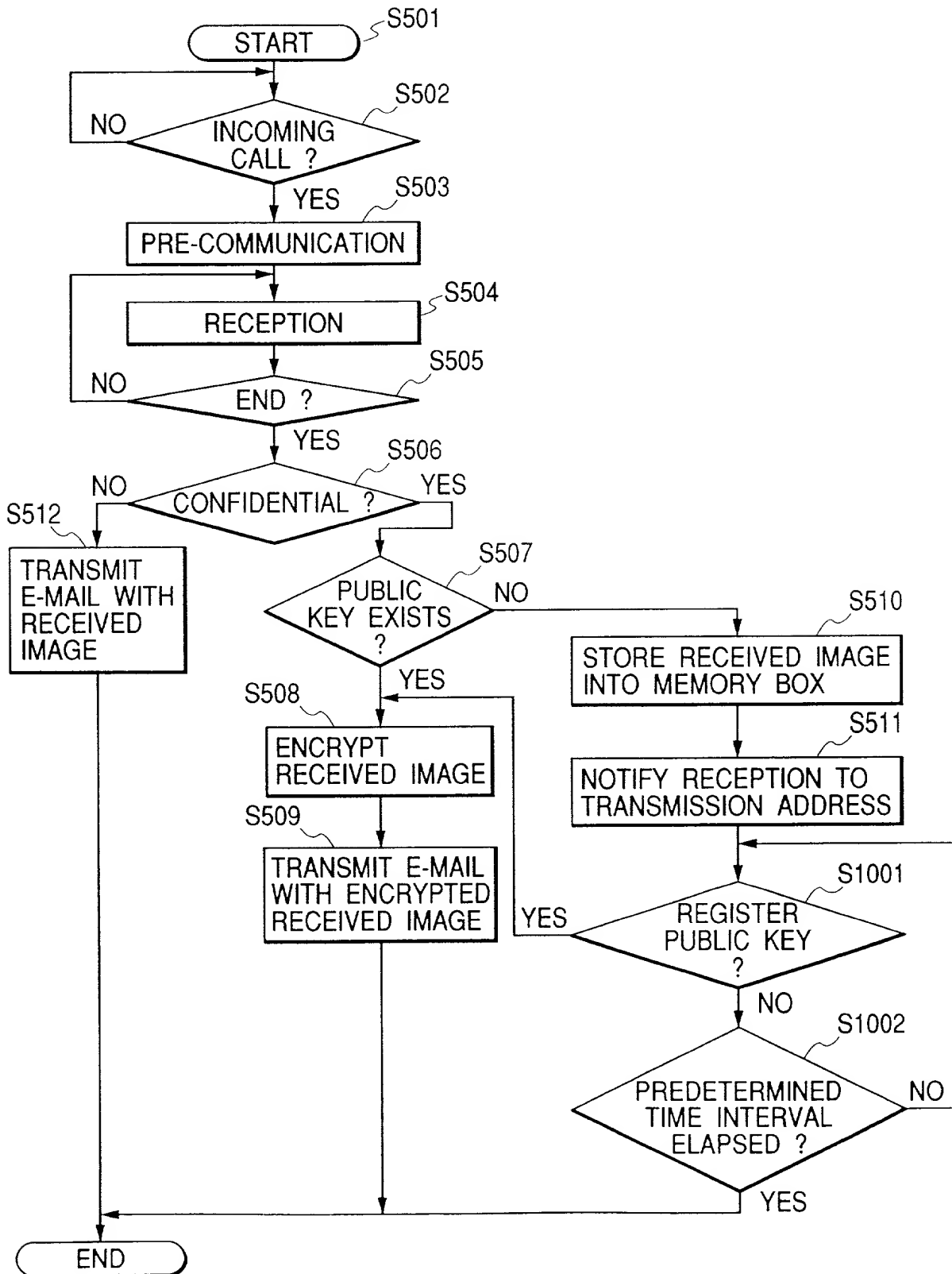


FIG. 10

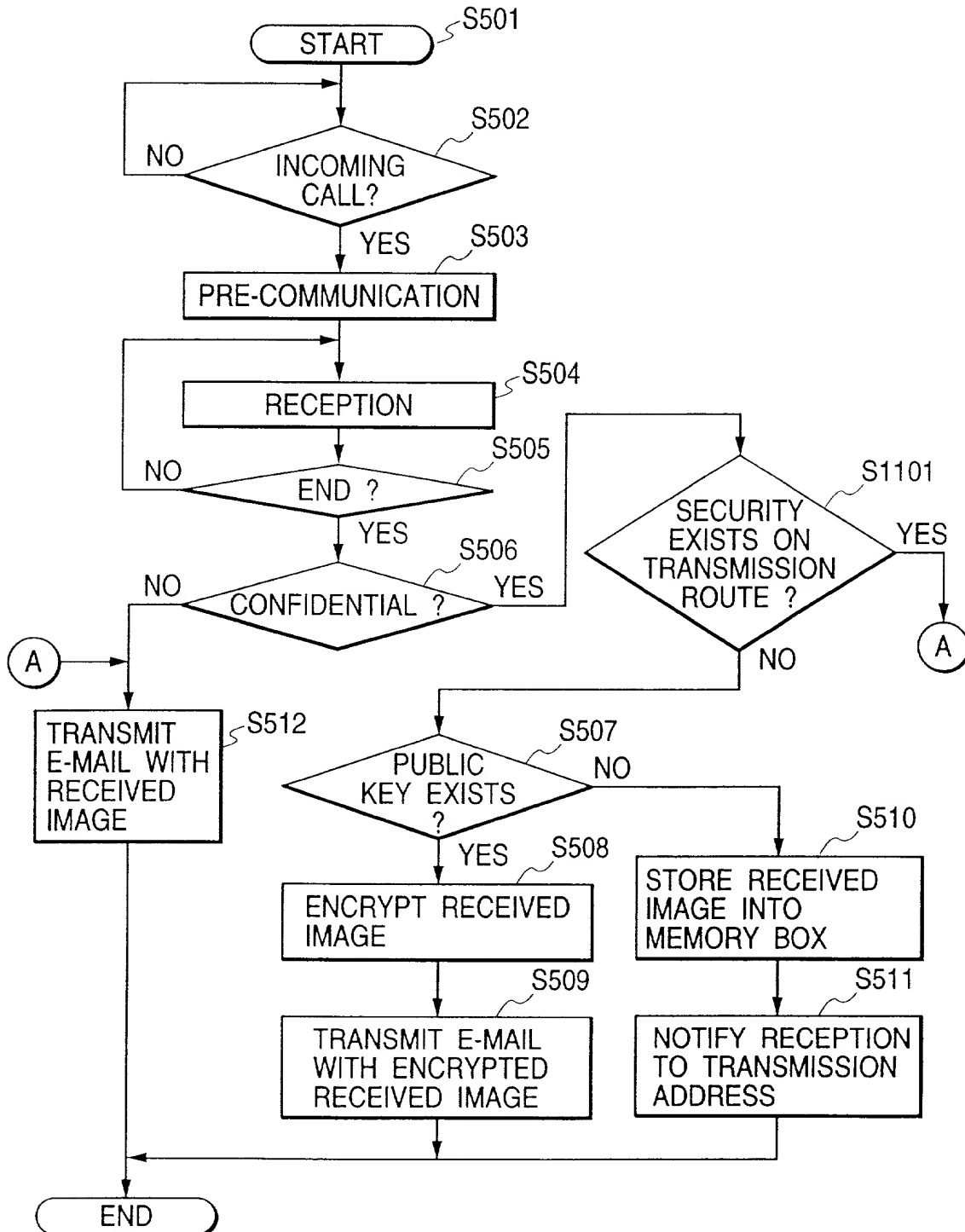


FIG. 11

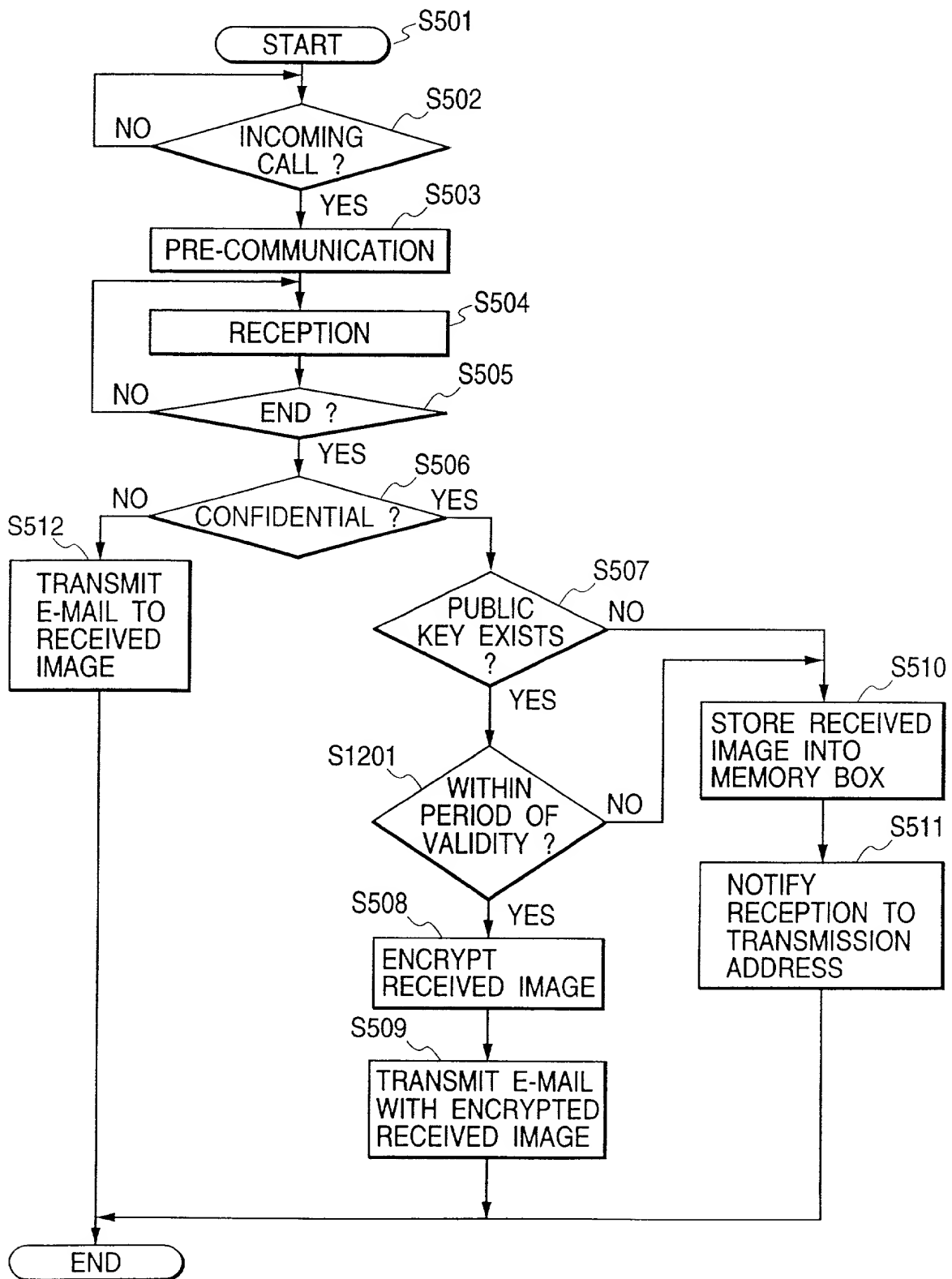
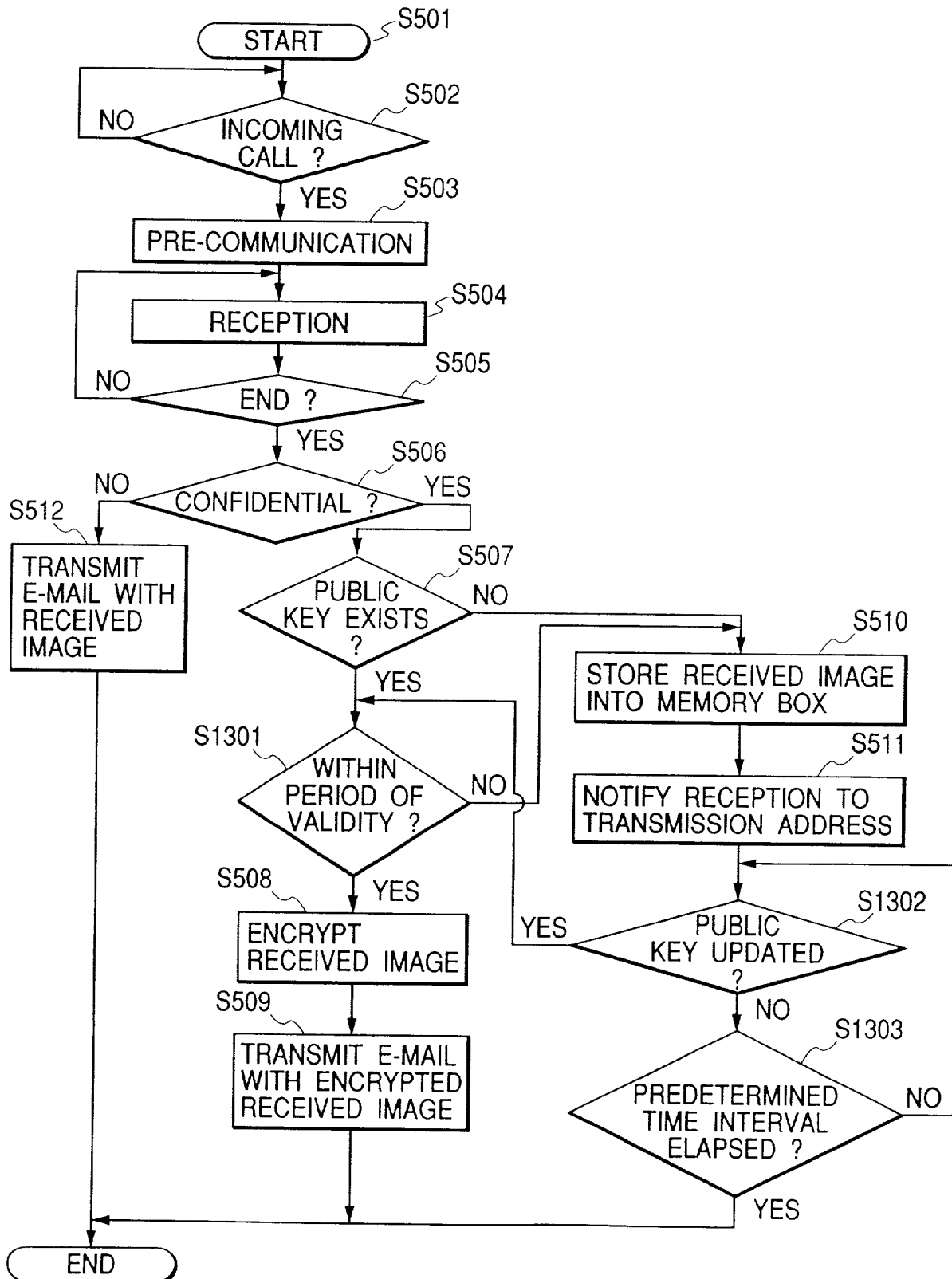


FIG. 12





CFO 74724 US

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ATTORNEY FOR PATENT APPLICATIONATTORNEY DOCKET:  
NO. B422-143

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMMUNICATION APPARATUS, METHOD AND MEMORY MEDIUM THEREFOR

the specification of which (check one)

X

is attached hereto.

was filed on \_\_\_\_\_, as application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Section 119 of Title 35, United States Code, of any foreign application(s) for patent or inventor's certificate(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application(s) or inventor's certificate(s) on which priority is claimed:

PRIOR FOREIGN APPLICATIONS		Filing Date day/mo/yr	Priority Claimed Under 35 USC 119	
COUNTRY	SERIAL NO.		Yes	No
JAPAN	11-325559	16 November 1999	X	
JAPAN	2000-323980	24 October 2000	X	

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Atty. Docket No. B422-143

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE day/mo/yr	STATUS Patented, Pending, Aband.

~~I hereby~~ appoint James J. Daley, Registration No. 24,158, Herbert Blecker, Registration No. 20,368, John J. Torrente, Registration No. 26,359, Marylee Jenkins, Registration No. 37,645 and Michael Schwarz, Registration No. 33,060 as my attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected herewith.

Please address all correspondence to James J. Daley at Robin, Blecker, Daley & Driscoll, 330 Madison Avenue, New York, New York 10017. Please direct telephone calls to (212) 682-9640.

I hereby declare that all statements made herein of my own knowledge ~~are~~ true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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